

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re application of:

Shigang Chen, et al.

Serial No.: 10/078,061

Filed on: February 15, 2002

) Confirmation No.: 6345  
)  
) Examiner: NYA  
)  
) Group Art Unit No.: 2134  
)

For: METHOD AND APPARATUS FOR DEPLOYING CONFIGURATION  
INSTRUCTIONS TO SECURITY DEVICES IN ORDER TO IMPLEMENT A  
SECURITY POLICY ON A NETWORK

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form, if needed. It is respectfully requested that the cited documents be considered and that the enclosed Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

  X   37 C.F.R. §1.97(b).

       37 C.F.R. §1.97(c). If so, then this Information Disclosure Statement includes one of the following:

       A statement pursuant to 37 C.F.R. §1.97(e)

\_\_\_\_\_ 1.97(e)(1) The undersigned hereby states that each item of information contained in this information disclosure statement was first cited in communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.

\_\_\_\_\_ 1.97(e)(2) The undersigned hereby states that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement.

\_\_\_\_\_ A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

\_\_\_\_\_ 37 C.F.R. §1.97(d). If so, then this Information Disclosure Statement includes the following:

\_\_\_\_\_ A statement pursuant to 37 C.F.R. §1.97(e)

\_\_\_\_\_ 1.97(e)(1) The undersigned hereby states that each item of information contained in this information disclosure statement was first cited in communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement; OR

\_\_\_\_\_ 1.97(e)(2) The undersigned hereby states that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement.

AND

\_\_\_\_\_ A check for \$180.00 for the fee under 37 C.F.R. §1.17(i) for submission of the Information Disclosure Statement.

\_\_\_\_\_ 37 C.F.R. §1.97(i). Wherein applicants are submitting references before the grant of a patent to be placed in the file but not considered by the Patent office.

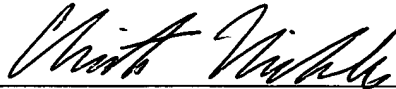
- (1) Accordingly, copies of the references as listed on the attached Form PTO 1449 are submitted herewith for placement in the file. No certification or fees are deemed necessary.

Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302.

Respectfully submitted,

HICKMAN PALERMO TRUONG & BECKER LLP

Dated: March 8, 2005



Christian A. Nicholes  
Reg. No. 50,266

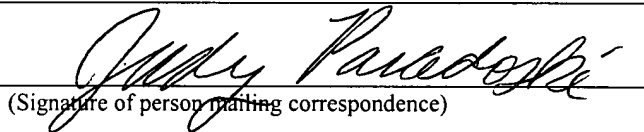
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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

March 8, 2005  
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Judy Paradoski



(Signature of person mailing correspondence)

# INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(PTO-1449)



ATTY. DOCKET NO. 50325-0641

SERIAL  
NO.10/078,061

APPLICANT: Shigang Chen, et al.

FILING DATE: February 15,  
2002

GROUP: 2134

## U.S. PATENT DOCUMENTS

Exam. Initial*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (If known)			

## FOREIGN PATENT DOCUMENTS

Exam. Initial*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (If known)				

## OTHER ART - NO PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation <sup>2</sup>
		K. Egevang, et al., Network Working Group, Request for Comments: 1631, Category: Informational, "The IP Network Address Translator (NAT)," [online], May 1994, [retrieved on 2005-03-07]. Retrieved from the internet: <URL: <a href="http://www.ietf.org/rfc/rfc1631.txt">http://www.ietf.org/rfc/rfc1631.txt</a> > pp. 1-10.	
		P. Srisuresh, et al., Network Working Group, Request for Comments: 3022, Obsoletes: 1631, Category: Informational, "Traditional IP Network Address Translator (Traditional NAT)," [online] January 2001, [retrieved on 2005-03-07]. Retrieved from the internet: <URL <a href="http://www.ietf.org/rfc/rfc3022.txt">http://www.ietf.org/rfc/rfc3022.txt</a> > pp. 1-15.	
		R. Atkinson, et al., Network Working Group, Request for Comments: 1825, Category: Standards Track, "Security architecture for the Internet Protocol," [online] August 1995, [retrieved on 2005-03-07] Retrieved from the internet: <URL <a href="http://www.ietf.org/rfc/rfc1825.txt">http://www.ietf.org/rfc/rfc1825.txt</a> >, pp. 1-21.	
		S. Kent, et al., BBN Corp., Network Working Group, Request for Comments: 2401, Obsoletes: 1825, Category: Standards Track, "Security Architecture for the Internet Protocol, [online] November 1998, [retrieved on 2005-03-07] " Retrieved from the internet: <URL <a href="http://www.ietf.org/rfc/rfc2401.txt">http://www.ietf.org/rfc/rfc2401.txt</a> > pp. 1-58.	

Examiner Signature		Date Considered	
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